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DOCKET NO: C1104-7127.30

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George G. Mueller et al.

Serial No:

10/828,933

Confirmation No:

5111

Filed:

April 21, 2004

For:

TILE LIGHTING METHODS AND SYSTEMS

Examiner:

Not yet assigned

Art Unit:

2875

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the Aday of July, 2004,

Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

[X] Information Disclosure Statement and PTO Form 1449

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

George G. Mueller, et al., Applicants

Bv

Joseph Teja, Jr., Reg. No. 45,157

LÖWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, MA 02142

(617) 395-7000

Date: July <u>19</u>, 2004



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Jeanne W. Chub

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

746229.1

PART_III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, George G. Mueller, et al., Applicants

Bv

Joseph Teja, Jr., Reg. No. 45,157

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One Main Street

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Tel. (617) 395-7000

Docket No. C1104-7127.30

Date: July <u>29</u>, 2004

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FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 10/828,933

ATTY. DOCKET NO.: C1104-7127.30

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT: George G. Mueller, et al.

EXAMINER: Not yet assigned

U.S. PATENT DOCUMENTS

GROUP ART UNIT: 2875

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
		5,684,309		McIntosh et al.	11-04-1997	
		5,961,201		Gismondi	10-05-1999	
	6,329,764 B1		van de Ven	12-11-2001		
		6,330,111	B1	Myers	12-11-2001	
		6,331,915		Myers	12-18-2001	
		2003/0107887	A1	Eberl	06-12-2003	
		20040066652	Al	Hong	04-08-2004 (Abstract)	
-					•	

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
	No.	Office/ Country	Number	Kind Code	(not necessors)	Cited Document MM-DD-YYYY	(Y/N)
		WO	99/30537	A1	Proquip Special Projects Limited	06-17-1999	Y
		WO	01/73818	A1	Hong	10-04-2001	Y
		·				1	}

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
				-

EXAMINER			DATE CONSIDERED
	:	•	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet

of

^{*}a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).
746229.1